

1) Completed Audits

Directorate/Service	Audit Title	Date Audit finalised	Overall Opinion
<b>Resources</b>			
Customer Services	Payroll	09/03/2016	Well Controlled
Customer Services	Accounts Receivable	26/11/2015	Well Controlled
Customer Services	Housing Benefits	11/11/2015	Well Controlled
Customer Services	Council Tax	20/11/2015	Well Controlled
Customer Services	NNDR	10/12/2015	Well Controlled
Human Resources	Staff Training and Development	05/04/2015	Satisfactory
Finance	General Ledger	21/01/2016	Very Well Controlled
Finance	Treasury Management	15/04/2016	Well Controlled
Finance	Insurance Claims Management-Potholes	26/02/2016	Satisfactory
Legal Services	Contract Letting	21/04/2016	Weak
Strategic Support/ICT & Corporate Support	Data Protection/Freedom of Information	24/11/2015	Well Controlled
ICT & Corporate Support	Superfast Broadband Project – Phase 1	07/10/2015	Not Applicable
<b>Communities</b>			
Education	Building Maintenance	01/03/2016	Satisfactory
Education	Schools Library and Museum Services Joint Arrangements	26/02/2016	Well Controlled

<b>Environment</b>			
Culture and Environmental Protection	Building Control	17/12/2015	Well Controlled

**NOTE**

The overall opinion is derived from the number/significance of recommendations together with using professional judgement. The Auditor's judgement takes into account the depth of coverage of the review (which could result in more issues being identified) together with the size/complexity of the system being reviewed.

3) COMPLETED FOLLOW-UPS

<u>Directorate/ Service</u>	<u>Audit Title</u>	<u>Date follow up finalised</u>	<u>Overall Opinion of Report</u>	<u>Opinion – Implementation progress</u>
Corporate	Capital Programme/Use of the Project Management Methodology	04/04/2016	Satisfactory	Satisfactory
<b>Resources</b>				
Customer Services	Accounts Receivable	26/11/2015	Well Controlled	Satisfactory
Customer Services	NNDR	10/12/2015	Well Controlled	Satisfactory
Customer Services	Council Tax	20/11/2015	Well Controlled	Satisfactory
Customer Services	Housing Benefits	11/11/2015	Very Well Controlled	Fully Implemented
Customer Services	Payroll	09/03/2016	Well Controlled	Satisfactory
Finance	General Ledger	21/01/2016	Very Well Controlled	Satisfactory
Finance	Treasury Management	15/04/2016	Well Controlled	Satisfactory
Finance	Health & Safety	31/03/2016	Satisfactory	Satisfactory
Finance	VAT	31/03/2016	Well Controlled	Satisfactory
ICT & Corporate Support	Printer Rationalisation Project – Post Implementation Review	18/12/2015	N/A	Satisfactory
ICT & Corporate Support	Manage Problems and Incidents - Helpdesk	18/12/2015	Well Controlled	Satisfactory
<b>Communities</b>				
Children & Family Services	Carers Payments	09/11/2015	Satisfactory	Satisfactory
Adult Social Care	Phoenix Centre	04/01/2016	Satisfactory	Satisfactory

**Internal Audit – Half Yearly Report  
(End of March 2016)**

**Appendix B**

Care Commissioning, Housing & Safeguarding	Communities Grant	21/04/2016	Weak	Satisfactory
<b>Environment</b>				